

Chennai Mathematical Institute
Design and Analysis of Algorithms CU2101
Mid-Term

Aug-Nov 2025,

Time: 2:30 Hrs Max mark: 50

Attempt all questions.

1. Prove or disprove: In a min-heap, the next larger element of any given element can be found in $O(\log n)$ time. (4)
2. Write the step-by-step execution (after each major steps) of the heap sort algorithm on the following input. (4)

[3, 5, 1, 9, 6, 8]

3. Give asymptotic upper and lower bounds for the following recurrence. Assume that $T(n)$ is constant for $n \leq 2$. Make your bounds as tight as possible, and justify your answers. (4)

$$T(n) = T(\sqrt{n}) + 5.$$

Handwritten notes:
 $n = 2^k$
 $T(n) = T(\sqrt{n}) + 5 \Rightarrow T(n) = 5 \log_2(\sqrt{n})$
 $\Rightarrow T(n) = 5 \log_2 n$

4. Describe an algorithm to determine in $O(n)$ time whether an arbitrary array $A[1 \dots n]$ contains more than $n/4$ copies of any value. (4)
5. Suppose, we use the double hash function $h(k, i) = (h_1(k) + i h_2(k)) \bmod m$ to resolve collision via open addressing in a hash table T . Let $d \geq 1$ be the greatest common divisor of m and $h_2(k)$. Then an unsuccessful search for key k examines m/d many slots of the table T . Justify your answer. (4)

6. An *inversion* in an array $A[1 \dots n]$ is a pair of indices (i, j) such that $i < j$ and $A[i] > A[j]$. The number of inversions in an n -element array is between 0 (if the array is sorted) and $\binom{n}{2}$ (if the array is sorted backward).

Describe and analyze an algorithm to count the number of inversions in an n -element array in $O(n \log n)$ time. (5)

7. Suppose we have used Open addressing to insert n keys into a hash table of size m . Prove that under the simple uniform hashing assumption the cost of next insert operation is $\frac{1}{1-\alpha}$, where $\alpha = \frac{n}{m}$. (5)

Handwritten solution for Question 7:

Let $\alpha = \frac{n}{m}$. The cost of next insert operation is the expected number of probes. Under simple uniform hashing, the probability that a slot is occupied is α . The expected number of probes is:

$$1 + \alpha + \alpha^2 + \dots = \sum_{i=0}^{\infty} \alpha^i = \frac{1}{1-\alpha}$$

Handwritten notes:
 $h_2(k) = bcd$
 $(h_1(k) + bcd i) \bmod m$
 $h_1(1) + \dots$
 $1 \rightarrow 1 - \frac{n}{m}$
 $2 \rightarrow (1 - \frac{n}{m}) \frac{n}{m}$

8. Suppose we have n points scattered inside a two-dimensional box. A kd-tree recursively subdivides the points as follows. If the box contains no points in its interior, we are done. Otherwise, we split the box into two smaller boxes with a vertical line, through a median point inside the box (not on its boundary), partitioning the points as evenly as possible. Then we recursively build a kd-tree for the points in each of the two smaller boxes, after rotating them 90° . Thus, we alternate between splitting vertically and splitting horizontally at each level of recursion. The final empty boxes are called *cells*.

(20)

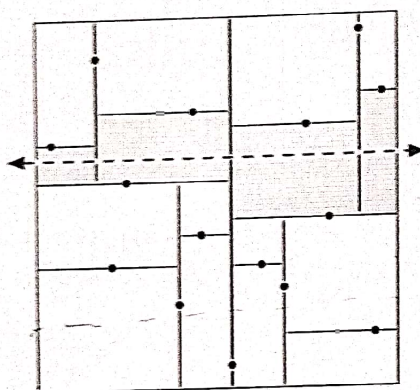


Figure 1: A kd-tree for 15 points. The dashed line crosses the four shaded cells.

- (a) How many cells are there, as a function of n ? Prove your answer is correct. (3)
- (b) In the worst case, exactly how many cells can a horizontal line cross, as a function of n ? Prove your answer is correct. Assume that $n = 2^k - 1$ for some integer k . (5)
- (c) Suppose we are given n points stored in a kd-tree. Describe and analyze an algorithm that counts the number of points above a horizontal line (such as the dashed line in the figure) as quickly as possible. [Hint: Use part (b).] (6)
- (d) Describe and analyze an efficient algorithm that counts, given a kd-tree containing n points, the number of points that lie inside a rectangle R with horizontal and vertical sides. [Hint: Use part (c).] (6)